

Appendix 4A - List of Legal and Other Requirements

Legal and Other Requirements	Record Name / Location	Process/Mechanism for Identifying/Tracking	Responsibility
Federal			
United States Department of Environmental Protection 40 CFR Part 503 Regulations	Regulations are to be referenced electronically via the internet. Annual 503 Reports, BDMS's, Daily Laboratory Analysis are kept in the Library.	US EPA website and associated publications (www.epa.gov)	EMS Core Team and EMS Coordinator
United States Department of Environmental Protection 40 CFR 122 National Pollutant Discharge Elimination System (NPDES) Program	Regulations are to be referenced electronically via the internet.	US EPA website and associated publications (www.epa.gov)	EMS Core Team and EMS Coordinator
United States Department of Environmental Protection 40 CFR 403 General Pretreatment Regulations / Industry Specific Categorical Regulations	Regulations are to be referenced electronically via the internet.	US EPA website and associated publications (www.epa.gov)	Emergency Response Pretreatment Administrator
United States Department of Environmental Protection 40 CFR 300 Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA)	Regulations are to be referenced electronically via the internet.	US EPA website and associated publications (www.epa.gov)	Emergency Response Pretreatment Administrator
United States Department of Environmental Protection 40 CFR 200 Resource Conservation and Recovery Act (RCRA)	Regulations are to be referenced electronically via the internet.	US EPA website and associated publications (www.epa.gov)	Emergency Response Pretreatment Administrator
United States Department of Environmental Protection 40 CFR 112 Nontransportation related facilities with oil storage above certain threshold quantities	Regulations are to be referenced electronically via the internet.	US EPA website and associated publications (www.epa.gov)	Emergency Response Pretreatment Administrator
OSHA	Regulations are to be referenced electronically via the internet.	US OSHA website and associated publications (www.osha.gov)	Loss Control Administrator and Safety Emergency Response Administrator
State			
Kentucky Division of Water KPDES Permit (#0022411)	Permit is kept in the Library. Regulations are to be referenced electronically via the internet. DMR's and Form 15's are kept in the Library.	Kentucky Division of Water Website (www.water.ky.gov)	EMS Core Team and EMS Coordinator
Kentucky Division of Waste Management Land Application Permit	Permit is kept in the Library. Regulations are to be referenced electronically via the internet. Annual Report is kept in the Library.	Kentucky Division of Waste Management (www.waste.ky.gov)	EMS Core Team and EMS Coordinator
Indiana Department of Environmental Management Land Application Permit	Permit is kept in the Library. Regulations are to be referenced electronically via the internet. Annual Report is kept in the Library.	Indiana Department of Environmental Management (www.in.gov/idem)	EMS Core Team and EMS Coordinator
Fertilizer Law and Licenses for each State where biosolids are distributed (Division of Regulatory Services in KY)	Licenses and Tonnage Reports are kept in the Library	By keeping permits and licenses renewed regularly, the Core Team and EMS Coordinator are kept abreast of any changes or additional requirements	EMS Core Team and EMS Coordinator
AOAC Analysis for the 5-3-0 guarantee on our bagged product	Monthly analyses are kept in the Library	Monthly sample sent to A&L Labs in Memphis, TN	EMS Core Team and EMS Coordinator
Chapter 76 Kentucky Revised Statutes, 401 Kentucky Amended Regulations (KAR) Chapters 31, 32, 33, 34, 35, 36, 37, 38, 39, 40, 44, and 45, KRS 224	Regulations are to be referenced electronically via the internet.	Kentucky Division of Water website http://www.water.ky.gov/	Emergency Response Pretreatment Administrator

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County			
Air Pollution Control District Permits	Permits and Reports are kept in the Library	By keeping permits and licenses renewed regularly, the Core Team and EMS Coordinator are kept abreast of any changes or additional requirements	EMS Core Team and Technical Services Engineer
Louisville and Jefferson County Hazardous Materials Ordinances (HMO)	Regulations are to be referenced electronically via the internet.	Metro Government website: http://www.louisvilleky.gov/www.louisvilleky.gov/downloads/council/2187.doc	Emergency Response Pretreatment Administrator
MSD Internal			
National Biosolids Partnership Code of Good Practice	National Biosolids Partnership Code of Good Practice	NBP E-Mailing List and Web Site (www.biosolids.org)	EMS Core Team and EMS Coordinator
Daily Testing of Louisville Green for metals and nutrients/micronutrients	Pellet Data Spreadsheet is kept in the EMS Manual	The need for this additional testing is reviewed at the LG Meetings, EMS Core Team Meetings, and Sales Agent Meetings	EMS Core Team and EMS Coordinator
94% Dry Solids Specification for Final Product (Plant tested 15 times per 24 hour period; Lab Tested once per 24 hour period)	Pellet Tracking Spreadsheet is kept in the EMS Manual	The need for this requirement and additional testing is reviewed at the LG Meetings, EMS Core Team Meetings, and Sales Agent Meetings	EMS Core Team and EMS Coordinator
MSD Wastewater / Stormwater Discharge Regulation	Regulations are to be referenced electronically via the internet.	MSD website: http://www.msdlouky.org/insidemsd/pdfs/MSDWDR2005.pdf	Emergency Response Pretreatment Administrator
MSD Enforcement Response Plan (ERP)	MSD Enforcement Response Plan (ERP) is kept at the Central Maintenance Facility where the Pretreatment and Hazardous Materials Program operates.	Plan is updated by the Responsible Person.	Emergency Response Pretreatment Administrator
Agreements / Contracts			
A-J Inc.	Agreement is kept in the Library.	Periodically our relationship with our Sales Agent is re-evaluated in order to determine if our needs are being met.	EMS Core Team and EMS Coordinator
Waste Management	Contract is kept in the Library.	Periodically our relationship with Waste Management is re-evaluated in order to determine if our needs are being met.	EMS Core Team and EMS Coordinator
API (Process Cleaning)	Contract is kept at the MSD Office Downtown.	Periodically our relationship with API is re-evaluated in order to determine if our needs are being met.	EMS Core Team and EMS Coordinator
B&H (Sludge Haulers)	Contract is kept at the MSD Office Downtown.	Periodically our relationship with Metro Ag is re-evaluated in order to determine if our needs are being met.	EMS Core Team and EMS Coordinator
A&L Laboratory	AOAC Analysis provided by A&L Lab is kept in the Library.	Periodically our relationship with A&L Labs is re-evaluated in order to determine if our needs are being met.	EMS Core Team and EMS Coordinator
Lab Instrument Specialists	Contract is kept in the Library.	Periodically our relationship with Lab Instrument Specialists is re-evaluated in order to determine if our needs are being met.	EMS Core Team and EMS Coordinator